

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q85900

Tomokazu KUMEUCHI , et al.

Appln. No.: Not yet assigned

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: January 25, 2005

Examiner: Not yet assigned

For: POSITIVE ELECTRODE ACTIVE MATERIAL FOR SECONDARY BATTERY,  
POSITIVE ELECTRODE FOR SECONDARY BATTERY, SECONDARY BATTERY,  
AND PROCESS FOR MANUFACTURING POSITIVE ELECTRODE ACTIVE  
MATERIAL FOR SECONDARY BATTERY

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1) Japanese Unexamined Patent Publication No H06-187993 published July 8, 1994;  
with English abstract.
- 2) Japanese Unexamined Patent Publication No. H05-036412 published February 12, 1993; with English abstract.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LW/

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: Not yet assigned

- 3) Japanese Laid-Open Patent Publication No. 2004-139743 published May 13, 2004; with English abstract.
- 4) Japanese Laid-Open Patent Publication No. 2003-282055 published October 10, 2003; with English abstract.
- 5) Japanese Unexamined Patent Publication No. H10-092430 published April 10, 1998; with English abstract.
- 6) Japanese Laid-Open Patent Publication No. 2002-279987 published September 27, 2002; with English abstract.
- 7) Japanese Laid-Open Patent Publication No. 2002-187722 published July 5, 2002; with English abstract.
- 8) Japanese Laid-Open Patent Publication No. 2001-026424 published January 30, 2001; with English abstract.
- 9) Japanese Laid-Open Patent Publication No. 2001-163622 published June 19, 2001; with English abstract.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that above references 1-2 are discussed within the

## INFORMATION DISCLOSURE STATEMENT

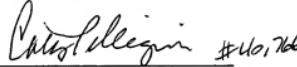
U.S. Appln. No.: Not yet assigned

specification beginning at page 4, line 13 and 14. References 3-9 are cited in the International Search Report, respectively.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Filing Date	January 25, 2005
First Named Inventor	Tomokazu KUMEUCHI
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	Q85900

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
US					

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>4</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
JP		JP	H06-187993	A	07/08/1994		Abstract
JP		JP	H05-036412	A	02/12/1993		Abstract
JP		JP	2004-139743	A	05/13/2004		Abstract
JP		JP	2003-282055	A	10/03/2003		Abstract
JP		JP	H10-092430	A	04/10/1998		Abstract
JP		JP	2002-279987	A	09/27/2002		Abstract
JP		JP	2002-187722	A	07/05/2002		Abstract
JP		JP	2001-026424	A	01/30/2001		Abstract
JP		JP	2001-163622	A	06/19/2001		Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>4</sup>

Examiner Signature	/Laura Weiner/	Date Considered	07/21/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov). MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by its two-letter code (WPO Standard SPC). For Japanese patent documents, the indication of the year of the year of the issuance of the Japanese patent precedes the serial number of the patent document. <sup>4</sup>Kind of translation by the applicant to establish and reflect on the document extent. PTO Standard SPC is impossible if Applicant is in the United States and English language Translation is attached.

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